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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Suzanne Cory, et al.

**Examiner:** S. Kaushal

**Serial No.:** 09/155,327

**Art Unit:** 1646

**Filing Date:** March 29, 1999

**Docket:** 11686

**For:** NOVEL MAMMALIAN GENE  
BCL-W, BELONGS TO THE  
BCL-2 FAMILY OF APOPTOSIS  
CONTROLLING GENES

**Date:** March 3, 2000

Assistant Commissioner for Patents  
Washington, DC 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

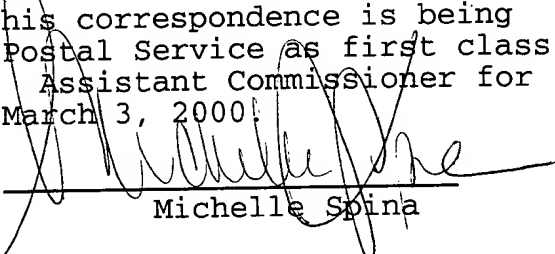
In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following disclosures, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Boise et al., Cell, 74:597-608, 1993.
2. Brand et al., Gene, 154:187-192, 1995.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on March 3, 2000.

Dated: March 3, 2000

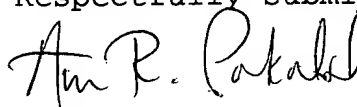
  
Michelle Spina

3. Chittenden et al., The EMBO Journal, 14:5589-5596, 1995.
4. Farrow et al., Nature, 374:731-733, 1995.
5. Hockenbery et al., Proc. Natl. Acad. Sci. USA, 88:6961-6965, 1991.
6. Kiefer et al., Nature, 374:736-739, 1995.
7. Oltvai et al., Cell, 74:609-619, 1993.
8. Subramanian et al., Oncogene, 11:2403-2409.
9. Yin et al., Nature, 369:321-323, 1994.

Enclosed are copies of each of the references listed above, all of which are in the English language. Inasmuch as this Information Disclosure Statement is being submitted prior to the issuance of the first Office Action on the merits, no fee or certification is due.

Consideration of this Information Disclosure Statement is respectfully requested, since the art provided may be material to the examination of the present application as defined within 37 C.F.R. §§1.97 and 1.98.

Respectfully submitted,



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GAU 1646

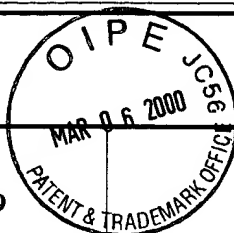
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

11686

In Re Application Of: Suzanne Cory, et al.



Serial No.  
09/155,327

Filing Date  
March 29, 1999

Examiner  
S. Kaushal

Group Art Unit  
1646

Title: **NOVEL MAMMALIAN GENE, BCL-W, BELONGS TO THE BCL-2 FAMILY OF GENES**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11686

In Re Application Of: Suzanne Cory, et al.

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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I certify that this document and fee is being deposited on 03/03/2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michelle Spina

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

*Ann R. Pokalsky*  
Signature

Dated: March 3, 2000

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